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## FAX FILING IN U.S. PATENT & TRADEMARK OFFICE

DATE: 11-22-06

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| TO:  | Mail Stop Amendment | FAX NO.:          | 571-273-8300         |
| FROM:  | Kenneth N. Nigon    | ADMIN. ASST.:     | Patricia C. Boccella |
| APPLN. NO.:  | 09/992,869          | ATTY. DOCKET NO.: | NSG-205US            |
| TITLE OF APPLN.: IMAGE READING APPARATUS, ITS LIGHT SOURCE, AND SHADING CORRECTING APPARATUS |                     |                   |                      |
| FILING DATE:   | November 14, 2001   | ART UNIT:         | 2625                 |
| FIRST INVENTOR:  | Tomihisa Saitou     | CONF. NO.:        | 5987                 |
| TITLE OF DOCUMENT (and List of Attachments): Transmittal, Amendment                          |                     |                   |                      |
|  |                     |                   |                      |
|  |                     |                   |                      |

Total Number of Pages: 15 including this form)

### COMMENTS

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PTO/SB/21 (09-04) (AW 10/2004)

Approved for use through 7/31/2006. OMB 0951-0031

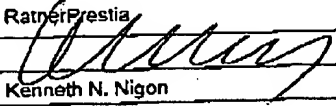
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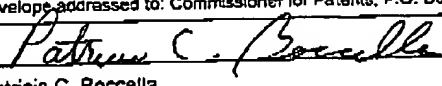
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|   |                      |                   |
|---|----------------------|-------------------|
| <b>TRANSMITTAL FORM</b><br>(to be used for all correspondence after initial filing) | Application Number   | 09/992,869        |
|   | Filing Date          | November 14, 2001 |
|   | First Named Inventor | Tomihisa Saitou   |
|   | Art Unit             | 2625              |
|   | Examiner Name        | Negussie Worku    |
|   | Attorney Docket No.  | NSG-205US         |
| Total Number of Pages in This Submission 14   |                      |                   |

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| ENCLOSURES (Check all that apply)   |  |  |
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| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input checked="" type="checkbox"/> Amendment/Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/Declaration(s)<br><br><input type="checkbox"/> Extension of Time Request<br><br><input type="checkbox"/> Express Abandonment Request<br><br><input type="checkbox"/> Information Disclosure Statement<br><br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><br><input type="checkbox"/> Response to Missing Parts/<br>Incomplete Application<br><input type="checkbox"/> Response to Missing Parts<br>under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><br><input type="checkbox"/> Licensing-related Papers<br><br><input type="checkbox"/> Petition<br><br><input type="checkbox"/> Petition to Convert to a<br>Provisional Application<br><br><input type="checkbox"/> Power of Attorney, Revocation,<br>Change of Correspondence<br>Address<br><br><input type="checkbox"/> Terminal Disclaimer<br><br><input type="checkbox"/> Request for Refund<br><br><input type="checkbox"/> CD, Number of CD(s) _____<br><input type="checkbox"/> Landscape Table on CD | <input type="checkbox"/> After Allowance Communication<br>to TC<br><br><input type="checkbox"/> Appeal Communication to Board<br>of Appeals and Interferences<br><br><input type="checkbox"/> Appeal Communication to TC<br>(Appeal Notice, Brief, Reply<br>Brief)<br><br><input type="checkbox"/> Proprietary Information<br><br><input type="checkbox"/> Status Letter<br><br><input type="checkbox"/> Other Enclosure(s) (please<br>identify below) |
| Remarks:  |  |  |

| SIGNATURE OF APPLICANT, ATTORNEY OR AGENT |   |                  |        |
|---|---|------------------|--------|
| Firm Name                                 | RatnerPrestia   |                  |        |
| Signature                                 |  |                  |        |
| Printed Name                              | Kenneth N. Nigon  |                  |        |
| Date                                      | November 22, 2006   | Registration No. | 31,549 |

| CERTIFICATE OF TRANSMISSION / MAILING   |   |      |                   |
|---|---|------|-------------------|
| I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: |   |      |                   |
| Signature   |  | Date | November 22, 2006 |
| Typed or Printed Name   | Patricia C. Boccella  |      |                   |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Office, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, ALEXANDRIA, VA 22313-1450.

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Appln. No.: 09/992,869  
Amendment Dated November 22, 2006  
Reply to Office Action of August 25, 2006

NSG-205US

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**NOV 22 2006**

Appln. No.: 09/992,869  
Applicant: Tomihisa Saitou, et al.  
Filed: November 14, 2001  
Title: IMAGE READING APPARATUS, ITS LIGHT SOURCE, AND SHADING  
CORRECTING APPARATUS  
TC/A.U.: 2625  
Examiner: Negussie Worku  
Confirmation No.: 5987  
Docket No.: NSG-205US

**AMENDMENT**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Responsive to the Office Action dated August 25, 2006, please amend the above-identified application as follows:

- ☐ **Amendments to the Specification** begin on page \_\_\_\_\_ of this paper.
- ☒ **Amendments to the Claims** are reflected in the listing of claims which begins on page 2 of this paper.
- ☐ **Amendments to the Drawings** begin on page \_\_\_\_\_ of this paper and include an attached replacement sheet(s).
- ☐ **Amendments to the Abstract** are on page \_\_\_\_\_ of this paper. A clean version of the Abstract is on page \_\_\_\_\_ of this paper.
- ☒ **Remarks/Arguments** begin on page 9 of this paper.